

Slavery and human trafficking statement 2019/2020

Stone Harbor Investment Partners LP and its subsidiaries, including Stone Harbor Investment Partners (UK), LLP (“Stone Harbor” or “we”) is committed to taking appropriate steps to ensure there is no slavery and human trafficking in our own business or in our supply chains.

This statement, which is published pursuant to section 54(1) of the Modern Slavery Act 2015, describes the steps that we have taken during the financial year ended December 31, 2019 to ensure that slavery and human trafficking is not taking place in our supply chains, or in any part of our own business.

Our structure

Stone Harbor is an independent fixed income investment manager focused on credit and multi-sector credit strategies, which together with its affiliated entities, manages assets for institutional clients globally. The Stone Harbor group has approximately 115 employees across its locations in New York, Chicago, London, Melbourne and Singapore.

Our supply chains

As an investment management firm, Stone Harbor has relatively simple supply chains. Our principal suppliers include:

- technology service providers that provide us with the software, equipment and other technology solutions we need to deliver our services to our clients;
- providers of professional services, such as insurers, professional advisers (legal, regulatory, audit, etc.) and recruitment agencies; and
- businesses which support our day-to-day operations and help us to run our premises, such as facilities management services (cleaning and security providers), couriers and printing services.

In light of our supply chains and the nature of our business, we consider the risk of modern slavery occurring within our business or our supply chains to be low.

Our policies and procedures on slavery and human trafficking

We are committed to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place in any part of our business or supply chains.

We adhere to all applicable employment law relating to our employees’ terms and conditions, including pay, and all of our employees are paid at least any applicable minimum wage. We expect all of our suppliers to conduct their own businesses in a manner which is both lawful and ethical, including adopting good business practices that prevent and eliminate modern slavery and human trafficking.

We have robust vetting procedures in place for selecting suppliers and we regularly review our procedures to identify additional steps we can take to identify and address the risk of modern slavery or human trafficking taking place within our supply chains.

Stone Harbor's whistleblowing policy ensures that our staff can raise concerns they have (including about slavery or human trafficking) confidentially with the comfort of knowing that such concerns will be dealt with appropriately.

Approved and signed by:



Adam Shapiro, General Counsel