

## **German Country Supplement**

### **STONE HARBOR INVESTMENT FUNDS PLC (THE “COMPANY”)**

**This German Country Supplement dated 12 March 2021 forms part of, and should be read in conjunction with the Prospectus for the Company dated 5 March 2021.**

All capitalised terms contained herein shall have the same meaning in this Country Supplement as in the Prospectus unless otherwise indicated.

The Manager and Directors of the Company, whose names appear under the heading “Management and Administration” are the persons responsible for the information contained in the Prospectus and accept responsibility accordingly. To the best of the knowledge and belief of the Manager and Directors (who have taken all reasonable care to ensure that such is the case) the information contained in this document is in accordance with the facts and does not omit anything likely to affect the import of the information.

#### **ADDITIONAL INFORMATION FOR INVESTORS IN THE FEDERAL REPUBLIC OF GERMANY**

**No notification pursuant to Sec. 310 of the German Capital Investment Code (*Kapitalanlagegesetzbuch*) has been filed for the following Funds and the Shares in these Funds may not be marketed to investors in the Federal Republic of Germany:**

- **Stone Harbor Convertible Securities Fund**
- **Stone Harbor Emerging Markets Debt Blend B- or Better Fund**
- **Stone Harbor Emerging Markets Debt Hard Currency Aggregate Fund**
- **Stone Harbor Emerging Markets Local Currency Debt B- or Better Fund**
- **Stone Harbor Belt and Road Bond Fund**
- **Stone Harbor Emerging Markets Debt Total Return Fund.**

Marcard, Stein & Co AG, Ballindamm 36, 20095 Hamburg acts as paying and information agent of the Company in the Federal Republic of Germany (the “German Paying and Information Agent”).

Requests for the subscription, redemption and conversion of Shares, which may be distributed in the Federal Republic of Germany, may be submitted to the German Paying and Information Agent. Redemption proceeds, dividends and any other payments to the Shareholders, if any, may be paid to Shareholders upon their request through the German Paying and Information Agent.

The Prospectus, the Key Investor Information Documents, the Memorandum and Articles of Association of the Company, the audited annual and unaudited semi-annual reports – each in paper form - as well as the subscription, redemption and any conversion prices are available and may be obtained free of charge at the office of the German Paying and Information Agent.

Any notices to Shareholders will be sent to the registered Shareholders by post or by email (subject to the consent of the Shareholder to receipt of notices by email in the Fund’s application form) and may also be obtained free of charge from the German Paying and

Information Agent. An additional notice will be published in the Federal Gazette if one of the following events occurs: suspension of redemptions of Shares; termination of the management of the Company; liquidation of the Company or a Fund; changes to the Articles of Association that are inconsistent with the existing investment principles, affect significant investor rights, or relate to remuneration or compensation of expenses (stating the background and the investors' rights); the merger of a Fund or the possible conversion of a Fund into a feeder fund.

In addition, the documents listed in section "Supply and Inspection of Documents" of the Prospectus are available for inspection at the office of the German Paying and Information Agent during the customary business hours.

### **Fees and Expenses**

Information relating to the fees and expenses payable by investors in each of the sub-funds in the Fund is set out in the section headed "FEES AND EXPENSES" of the Prospectus. The attention of investors and/or prospective investors is drawn to the information relating to fees and expenses set out therein.

The fees and expenses payable to the German Paying and Information Agent shall be at normal commercial rates.

### **Taxation**

Prospective investors should be aware that the relevant tax laws may change – possibly with retroactive effect. Moreover, it cannot be ruled out that the German tax authorities or courts may consider an interpretation or application to be correct that differs from the current one. Prospective investors are therefore advised to consult their tax advisers regarding the tax implications of the acquisition, holding or transfer of the shares.

**Date: 12 March 2021**